



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	Sheldon Chang	Examiner:	Unassigned
Serial No.:	10/617,403	Group Art Unit:	Unassigned
Confirmation No:	Unassigned	Docket:	609-4
Filed:	July 10, 2003	Dated:	September 18, 2003
For:	DIRECT DRIVE LINEAR FLOW BLOOD PUMP		

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

*I hereby certify this correspondence is being deposited  
with the United States Postal Service as first class mail,  
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Commissioner for Patents, P.O. Box 1450, Alexandria,  
Virginia 22313-1450 on September 18, 2003*

Signed: Kathleen A. Sanden

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R.

§1.56, Applicants submit herewith the following Information Disclosure Statement in  
accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

**UNITED STATES PATENTS**

<b><u>PATENTEE</u></b>	<b><u>PATENT NO.</u></b>	<b><u>ISSUE DATE</u></b>
Moineau	1,892,217	December 27, 1932
J. J. Cardoso	3,479,960	November 25, 1969
Nátkai et al.	4,482,305	November 13, 1984
Fujiwara et al.	4,802,827	February 7, 1989
Taylor et al.	5,588,812	December 31, 1996

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<u>PATENTEE</u>	<u>PATENT NO.</u>	<u>ISSUE DATE</u>
Bozeman, Jr. et al.	5,692,882	December 2, 1997
Maher et al.	5,707,218	January 13, 1998
Chang et al.	6,361,292 B1	March 26, 2002

**NON-PATENT PUBLICATIONS**

1. Catanese et al., "Outpatient Left Ventricular Assist Device Support: A Destination Rather Than a Bridge," Ann. Thorac. Surg., 62:646-53, 1996.

The above-referenced documents are listed on PTO Form 1449. We have enclosed the cited documents to facilitate reference to them.

If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,



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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Rev. 2-32) PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
609-4SERIAL NO.  
10/617,403INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
Sheldon ChangCONFIRMATION NO.  
Unassigned

(Use several sheets if necessary)

FILING DATE  
July 10, 2003GROUP  
Unassigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1,892,217	12-27-32	Moineau			
	3,479,960	11-25-69	J. J. Cardoso			
	4,482,305	11-13-84	Nátkai et al.			
	4,802,827	02-07-89	Fujiwara et al.			
	5,588,812	12-31-96	Taylor et al.			
	5,692,882	12-02-97	Bozeman, Jr. et al.			
	5,707,218	01-13-98	Maher et al.			
	6,361,292 B1	03-26-02	Chang et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Catanese et al., "Outpatient Left Ventricular Assist Device Support: A Destination Rather Than a Bridge," Ann Thorac. Surg., 62:646-53, 1996.
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.